



# Regulatory Certifications

Mandatory gate-keeping certifications for enhanced career opportunities in Banking and Financial Sector

**Get 100% support in**

Training for the exam | NISM Registration & examination | Placements

# Why Are Regulatory Certifications Important ?



- ✔ NISM, an education arm of Securities Exchange Board of India (SEBI) has prescribed certain regulatory certifications that are mandatory for specific job roles in Banking and Financial Services sector
- ✔ NISM certifications enable you to start your own financial entrepreneurial journey
- ✔ These certifications strengthen your professional profile and enhances your career opportunities.

Regulatory Certifications are viewed as a minimum benchmark for the skillset to be possessed in a particular field within the BFSI space

# How Can We Help You?



**We have trained over 1,50,000 executives from leading banks and BFSI companies for the NISM regulatory examination through our training and Continuing Professional Education (CPE) Programme**

## **We provide:**

-  Interactive live online training program led by BFSI industry experts
-  Well researched content with practical examples and case studies
-  Multiple practice tests to prepare you for the final examination
-  Operational support for NISM registration and scheduling the exam

**One stop solution providing end to end support from training, registration to exam scheduling**

# NISM Regulatory Certifications



## Must-Do

- ✓ NISM V A : Mutual Fund Distributors
- ✓ NISM VIII : Equity Derivatives
- ✓ NISM XV : Research Analyst
- ✓ NISM XXI-A : Portfolio Management Services

## Recommended

- ✓ NISM VII : Securities Operations Risk Management
- ✓ NISM I : Currency Derivatives
- ✓ NISM VI : Depository Operations

CIEL is the longest serving training partner for NISM CPEs, offering these programs for over 10 years.

# Student Success Stories



"I recently completed NISM VA coaching with Finx, and their placement process was seamless and efficient. The team provided regular updates, transparent communication, and great support throughout. Thanks to their guidance, I secured a job with Aspire Banking at RBL Bank. I highly recommend Finx for a smooth placement experience!

**Shruti Satyanaryana | RM - Aspire banking | CTC : 5 LPA**



My journey with FinX has been truly enriching. The experience of working and learning new things has been both enjoyable and insightful. The support I received from FinX played a crucial role in helping me secure a placement, and I am incredibly grateful for their guidance. It has been a wonderful experience, and I highly recommend FinX to anyone looking for professional growth and opportunities.

**Aarti Nagra | RM - Aspire banking | CTC : 2.75 LPA**



My experience has been great, with excellent training from the start. Being part of a growing startup has given me the opportunity to learn new things and explore exciting opportunities!

**Priyanshu Gupta | RM Niyo Global | CTC : 4.75 LPA**



"My experience at HDFC Bank has been incredible so far. In just three months, I have gained valuable exposure, managing corporate clients and distributors with confidence. The training I received in my first month was exceptional, equipping me with the skills needed to excel in my role. I am truly grateful to FinX for providing me with this opportunity and for their unwavering support in shaping my career.

**Tushar Kumar | HDFC AMC | CTC : 5.5 LPA**

# Academic Mentors

## Dr Uma Shashikant



- Doctorate in Finance
- Founder and Chairperson, CIEL
- Former Professor at the National Institute of Securities Markets (NISM)

Dr Uma has been a personal finance columnist since 2003 and currently writes a weekly column for ET Wealth.

## Dr Raj Agnihotri



- PhD from Kent State University
- Mary Warner Fellow and founding Director of Ivy Sales Forum
- Former John Merrill Endowed Professorship in Consultative Sales at University of Texas-Arlington

Dr Raj currently serves on the advisory boards of startups based in US, Europe, and India.

## Nikunj Sharma



- MBA in Finance
- Director, CIEL
- Head Investor Awareness & Training

Nikunj has over 25 years of experience in the BFSI industry and a published author of the book 'The Stock Market Monk'

## Harish Menon



- Chartered Accountant
- Founder & CEO of H-Zone Capital Management Services (H-Zone Capital) & Co-Founder of House of Alpha

Harish has over 20 years of experience in financial markets, taxation, accountancy.

## Joydeep Sen



- MBA , CFP Finance
- Corporate Trainer, Author, Columnist
- Former Senior Vice President - Advisory Desk at BNP Paribas Wealth Management

Joydeep has over 25 years of experience and has been awarded "100 Most Influential BFSI Leaders" by BFSI Congress.

## Rajendra Kalur



- MBA in Finance & CFA
- Life Goals Practitioner
- Volunteer Director CFA Society, India

Rajendra has over 20 years of experience and has worked in senior management positions in organisations like DSP Merrill Lynch, ABN Amro & ICICI Pru AMC

## Suraj Kaeley



- MMS in Marketing
- Master Coach - Retirement Income Course & The Sales Accelerator
- Former Group President for Sales & Marketing at UTI.

Suraj has over 30 years of industry experience and worked at senior leadership positions at Franklin Templeton, MetLife Insurance, FIL Fund Management.

## T.S.Jagadharini



- Associate Member of Institute of Company Secretaries of India
- Former Executive Director at JP Morgan Chase
- Empanelled with NISM to conduct certification programs

Jagadharini comes with over 20 years of experience and has also served as Vice President, NSE.

# Placement Partners

Work with the most reputed names in the Banking, Financial Services and Insurance industry



# About Us

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We are a skilling company, driven by the promise of a brighter India, vision of connecting formal education with practical skilling to create job ready students for BFSI. We are one the largest corporate training companies in BFSI Industry and have been providing corporate learning solutions since 18+ years to employees and professionals in Banking, Asset Management, Wealth Advisory, Insurance, Financial Distribution and Broking Companies.

We are headquartered in Mumbai (financial capital of India) with presence in over 100 cities across the country\*\*. Senior Management team comprises accomplished & seasoned professionals from BFSI Industry with cumulative experience of over 400 years.

We work with Universities, Colleges, BFSI Corporates & Learners with an objective to:

- Skill students to be Job ready & offer them 100% placement assistance
- Train corporate employees on regulatory gate-keeping examinations, specialised certifications in finance & online courses.
- Conduct customised induction programs and productivity enhancing techno - behavioural trainings
- Conduct Financial Awareness Programs on behalf of Regulators, Stock Exchanges and Mutual Funds for corporate employees, faculty, students, self-employed, women groups etc.

